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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Keiji Shioda, et al.

Examiner: Unassigned

Serial No: 09/837,787

Art Unit: Unassigned

Filed: April 18, 2001

Docket: 14528

For: OPERATION MICROSCOPE

Dated: July 26, 2001

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:


In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,095,887, dated March 17, 1992, issued to Leon et al.;
2. Japanese Patent Application KOKAI Publication No. 2001-104335, dated April 17, 2001;
3. Japanese Patent Application KOKAI Publication No. 11-258514, dated September 24, 1999;
4. Japanese Patent Application KOKAI Publication No. 9-56669, dated March 4, 1997;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on July 26, 2001.

Dated: July 26, 2001


Janet Grossman



5. Japanese Patent Publication No. 2000-237118, dated September 5, 2000; together with English language abstract; and
6. Japanese Patent Publication No. 10-333047, dated December 18, 1998 together with English language abstract.

Applicants are submitting copies of the above-cited references. The relevance of references 1-3 has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Thomas Spinelli

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14528

In Re Application Of: Keiji Shitaka, et al.

Serial No.

09/837,787

Filing Date

April 18, 2001

Examiner

Unassigned

Group Art Unit

Unassigned

Title: **OPERATION MICROSCOPE**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14528

In Re Application Of: Keiji Shioda, et al.

Serial No.
09/837,787

Filing Date
April 18, 2001

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **OPERATION MICROSCOPE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Janet Grossman
Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Thomas Spinelli
Signature

Dated: July 26, 2001

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